

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

The Estate of Joseph P. King, *by and through its
Administrator Ad Prosequendum, Amy King
and Amy King, in her own right,*

Plaintiff,

v.

9:20-CV-1413
(TJM/ML)

Jami Palladino, *Mid-State Social Work,
in her individual capacity; Hal Meyers, Mid-State
Chief Mental Health Counselor, in his individual capacity;
Anthony Annucci, Acting Commissioner, State of New York
Department of Corrections, in his individual capacity;
Marie T. Sullivan, MD, Commissioner, State of New York
Department of Mental Health, in her individual capacity,*

Defendants.

MIROSLAV LOVRIC, United States Magistrate Judge

ORDER

Defendants, Jami Palladino, *Mid-State Social Work, in her individual capacity; Hal Meyers,
Mid-State Chief Mental Health Counselor, in his individual capacity; Anthony Annucci, Acting
Commissioner, State of New York Department of Corrections, in his individual capacity;
Marie T. Sullivan, MD, Commissioner, State of New York Department of Mental Health, in her
individual capacity* (“Defendants”) are jointly represented in this case by the same counsel. In
addition to counsel’s ethical obligation to inform each client of the potential adverse
consequences of joint representation, this Court has a continuing obligation to supervise the bar
and assure litigants a fair trial. See *Dunton v. County of Suffolk, State of N.Y.*, 729 F.2d 903,
908-09 (2d Cir. 1984).

ACCORDINGLY, it is

ORDERED that counsel for Defendants shall send a letter to or have a detailed conversation with each of their individual clients in this action (a) outlining the circumstances under which an actual or potential conflict of interest may arise, including but not limited to those implicated by Canons 5 and 9 of The Lawyer's Code of Professional Responsibility, as adopted by the Northern District of New York (N.D.N.Y. L.R. 83.3(d)), and (b) advising whether counsel plans to take a position adverse to that client's interests at trial; and it is further

ORDERED that after being informed of the potential or actual conflict, (a) if any client wishes to retain separate counsel, they will be given leave to promptly and expeditiously do so, in which case new counsel shall submit a Notice of Appearance to this Court, or (b) alternatively, in the event that any individual client wishes to proceed while being represented by current defense counsel, I will require additional assurance that the client has made an informed decision to do so, notwithstanding the potential for conflict, which must be in the form of a sworn affidavit from the client submitted EX PARTE on or before **July 23, 2021**, (i) acknowledging that he or she has been given written or detailed oral notice by counsel of the potential for conflict, (ii) acknowledging that he or she understands the potential conflict and its ramifications, and (iii) stating that he or she has knowingly and voluntarily chosen to proceed with joint representation¹; and it is further

ORDERED that a client/party who consents to joint representation will not be deemed to have waived his or her right to retain separate counsel.²

¹ The affidavits shall also include a cover letter from counsel summarizing the position of the attached affidavit(s).

² However, no extension of deadlines established in the Uniform Pretrial Scheduling Order or of the trial date will be granted because of any substitution of counsel, unless a party establishes that the substitution resulted from a conflict of interest which could not reasonably

Dated: May 19, 2021
Binghamton, New York

A handwritten signature in black ink, reading "Miroslav Lovric". The signature is written in a cursive style with a horizontal line underneath the name.

Miroslav Lovric
U.S. Magistrate Judge

have been foreseen when that party filed his or her affidavit agreeing to joint representation and that the party promptly proceeded to obtain separate counsel upon learning of the conflict of interest.